

## RODM

Designed to provide equity exposure to developed markets (ex-US) with up to 15% less volatility over a complete market cycle than traditional capitalization-weighted indices

Our systematic, rules-based index methodology is designed to simultaneously address risks while seeking exposures to return-enhancing factors:

**Set Risk Parameters**

Seek to improve diversification versus a cap-weighted benchmark by reducing concentration at the country, sector, and individual company levels

**Select Securities**

Seek companies with a favorable combination of low valuation (50%), high momentum (30%), and high quality (20%) investment factors

**Reconstitute and Rebalance**

Reapply the investment process twice a year in March and September to ensure the portfolio stays true to the rules-based index methodology

**Key Details**

<b>Ticker</b>	RODM
<b>Inception</b>	2/25/15
<b>Underlying Index</b>	LRODMX
<b>Morningstar Category</b>	Foreign Large Value
<b>Net Assets</b>	\$1.2 billion
<b>Shares Outstanding</b>	43,150,000

**Trading Details**

<b>CUSIP</b>	518416102
<b>IOPV Ticker</b>	RODM.IV
<b>Stock Exchange</b>	NYSE Arca

**Expenses (%)<sup>1</sup>**

	<b>RODM</b>
Total Operating Expenses	0.29

**Characteristics**

	<b>RODM</b>	<b>MSCI World ex USA Index</b>
Nominal Companies	329	832
Nominal Countries	22	22
Price/Book	1.49	1.98
Price/Earnings	11.84	15.69
Return on Equity (%)	16.96	18.00
Debt to Equity	155.69	126.63
Effective Countries	9	9
Effective Companies	200	228
Standard Deviation (%)	15.37	—

**Market Cap Distribution (%)**

Greater than \$100 billion	10.07	29.39
\$10 billion - \$100 billion	63.42	62.36
\$2 billion - \$10 billion	26.04	8.25
Less than \$2 billion	0.47	0.00

**Index Yield**

	<b>LRODMX</b>	<b>MSCI World ex USA Index</b>
Index Dividend Yield (%)	3.70	2.84

**Performance (%) (SI=Since Inception)**

	QTD	YTD	Average Annual Total Returns				SI
			1 Year	3 Year	5 Year	10 Year	
Hartford Multifactor Developed Markets (ex-US) ETF							
NAV	1.89	1.89	11.24	2.25	3.72	—	4.47
Market Price	2.19	2.19	11.28	2.28	3.68	—	4.47
Hartford Risk-Optimized Multifactor Developed Markets (ex-US) Index (LRODMX)							
MSCI World ex USA Index	1.95	1.95	11.39	2.40	3.85	—	—
MSCI World ex-USA Value Index	5.59	5.59	15.29	4.93	7.48	—	—
Morningstar Category	4.22	4.22	16.90	6.80	6.62	—	—
Morningstar Category	3.99	3.99	13.83	4.97	6.49	—	—

<sup>1</sup>Expenses are the total annual fund operating expenses as shown in the most recent prospectus

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the performance data quoted. For more current performance information to the most recent month ended, please visit [hartfordfunds.com](http://hartfordfunds.com).

Investors should carefully consider a fund's investment objectives, risks, charges and expenses. This and other important information is contained in the fund's prospectus and summary prospectus, which can be obtained by visiting [hartfordfunds.com](http://hartfordfunds.com). Please read it carefully before investing.

ETF shares are bought and sold at market price, not net asset value (NAV). Total returns are calculated using the daily 4:00 p.m. Eastern Time NAV. Market price returns reflect the midpoint of the bid/ask spread as of the close of trading on the exchange where Fund shares are listed. Market price returns do not represent the returns an investor would receive if they traded shares at other times. Brokerage commissions apply and will reduce returns.

**Fund Objective:** Seeks to provide investment results that, before fees and expenses, correspond to the total return performance of an index that tracks the performance of companies located in major developed markets of Europe, Canada and the Pacific Region.

The Hartford Risk-Optimized Multifactor Developed Markets (ex US) Index methodology assigns a combined factor score to each company within the eligible universe. Within the risk parameters of the strategy, the methodology selects those with the highest aggregate score. The Value factor is measured by earnings yield, earnings before interest, tax, depreciation and amortization (EBITDA)/enterprise value (EV), operating cash flow/EV, revenue/EV, dividend yield and book value (used only in financials and real estate as a replacement to EBITDA/EV). The Momentum factor is measured by the last 12-month price return minus the last 1-month price return and the last 6-month price return minus the last 1-month price return. The Quality factor is measured by gross profitability/total assets.

# Hartford Multifactor Developed Markets (ex-US) ETF

## Sector Allocation (%)

RODM diversifies exposure across sectors and explicitly avoids unintended sector concentrations.

	RODM	MSCI World ex USA Index	Underweight/Overweight
Consumer Staples	11	8	3
Health Care	13	11	2
Utilities	5	3	2
Real Estate	4	2	2
Communication Services	5	4	1
Materials	7	7	0
Financials	20	21	-1
Consumer Discretionary	9	11	-2
Information Technology	7	9	-2
Energy	4	6	-2
Industrials	14	17	-3

Based on Global Industry Classification Standard (GICS), which was developed by and is the exclusive property and a service mark of MSCI Inc. (MSCI) and Standard & Poor's, a division of The McGraw-Hill Companies, Inc. (S&P).

Excludes cash. May not total to 100% due to rounding and/or pooled vehicle allocations.

## Country Allocation (%)

RODM provides more diversified exposure to countries and reduces concentration in dominant global currencies.

RODM		MSCI World ex USA Index	
Japan	21.49	Japan	21.24
Canada	14.73	United Kingdom	13.04
United Kingdom	12.83	France	10.94
Australia	9.89	Canada	10.60
France	6.97	Switzerland	8.38
Switzerland	4.94	Germany	7.81
Germany	4.52	Australia	6.38
Hong Kong	3.44	Netherlands	4.53
Singapore	3.35	Denmark	3.28
Israel	2.82	Sweden	2.77
<b>Top Ten Total</b>	<b>84.98</b>	<b>Top Ten Total</b>	<b>88.97</b>
Number of other countries	12	Number of other countries	12

**Important Risks:** Investing involves risk, including the possible loss of principal. The net asset value (NAV) of the Fund's shares may fluctuate due to changes in the market value of the Fund's holdings which may in-turn fluctuate due to market and economic conditions. The market prices of the Fund's shares will generally fluctuate due to changes in the relative supply of and demand for the shares on an exchange. • The Fund is not actively managed but rather attempts to track the performance of an index. The Fund's returns may diverge from that of the index. • Foreign investments may be more volatile and less liquid than U.S. investments and are subject to the risk of currency fluctuations and adverse political, economic and regulatory developments. • Investments focused in a country, region, industry or group of industries may increase volatility and risk. • Mid-cap securities can have greater risks and volatility than large-cap securities.

**The MSCI World ex USA Index** is a free float-adjusted market capitalization index that captures large and mid cap representation across developed markets countries-excluding the United States. Indices are unmanaged and not available for direct investment.

**MSCI World ex USA Value Index** is a free float-adjusted market capitalization index that captures large and mid-cap securities that exhibit overall value style characteristics across developed markets countries excluding the United States. MSCI performance is shown net of dividend withholding tax.

MSCI performance is shown net of dividend withholding tax. The MSCI information may only be used for your internal use, may not be reproduced or disseminated in any form and may not be used as a basis for or a component of any financial instruments or products or indices. None of the MSCI information is intended to constitute investment advice or a recommendation to make (or refrain from making) any kind of investment decision and may not be relied on as such. Historical data and analysis should not be taken as an indication or guarantee of any future performance analysis, forecast or prediction. The MSCI information is provided on an "as is" basis and the user of this information assumes the entire risk of any use made of this information. MSCI, each of its affiliates and each other person involved in or related to compiling, computing or creating any MSCI information (collectively, the "MSCI Parties") expressly disclaims all warranties (including, without limitation, any warranties of originality, accuracy, completeness, timeliness, non-infringement, merchantability and fitness for a particular purpose) with respect to this information. Without limiting any of the foregoing, in no event shall any MSCI Party have any liability for any direct, indirect, special, incidental, punitive, consequential (including, without limitation, lost profits) or any other damages. (www.msci.com)

**IOPV, or Indicative Optimized Portfolio Value**, is a calculation disseminated by the stock exchange that approximates the Fund's NAV every fifteen seconds throughout the trading day. **Price/Earnings** is the ratio of a stock's current price divided by the trailing earnings per share for the past 12 months. **Price/Book** is the ratio of a stock's price to its book value per share. **Nominal Countries/Companies** represents the total number of countries/companies included in the portfolio, regardless of allocation. **Effective Countries/Companies** measures portfolio concentration, in which a higher number would indicate a more diversified portfolio. **Debt-to-equity ratio** measures a company's financial leverage calculated by dividing its total liabilities by stockholders' equity. **Return on Equity** is the average amount of net income after taxes that a firm is able to earn as a percent of stockholders equity. **Index Dividend Yield** is the weighted average dividend yield of the securities in the underlying portfolio index (including cash). The number is not intended to demonstrate income earned or distributions made by the Fund and is not necessarily indicative of the dividend yield of the Fund. Fundamental characteristics are based on industry-standard calculation methodologies and determined by Hartford Funds. Holdings may not sum to 100% due to cash allocations. Sources for all data: Bloomberg, MSCI, and Hartford Funds.

ETFs are distributed by ALPS Distributors, Inc. (ALPS). Advisory services may be provided by Hartford Funds Management Company, LLC (HFMC), or its wholly owned subsidiary, Lattice Strategies LLC (Lattice). HFMC and Lattice are SEC registered investment advisers. Hartford Funds refers to Hartford Funds Distributors, LLC, Member FINRA, HFMC, and Lattice, which are not affiliated with ALPS.

The indices, which our multifactor ETFs seek to track, are calculated and distributed by Solactive AG, a firm retained and paid by the Indices' Provider (Lattice Strategies). Lattice Strategies is responsible for the methodology and selection of each index component.

## Top Ten Holdings (%)

RODM provides exposure to companies with favorable valuation, momentum, and quality factors.

BAE Systems PLC	1.00
Orange SA	0.99
Koninklijke Ahold Delhaize NV	0.98
Sanofi SA	0.98
GSK PLC	0.96
ANZ Group Holdings Ltd.	0.95
Centrica PLC	0.95
Great-West Lifeco, Inc.	0.94
Roche Holding AG	0.94
Novo Nordisk AS	0.93

## Top Ten Total

RODM	9.62
MSCI World ex USA Index	13.95

All holdings and allocations are subject to change.