

MARCH 31, 2024

Hartford Schoders Tax-Aware Bond ETF

HARTFORDFUNDS

Our benchmark is the investor.[®]

HTAB

Seeks total return on an after-tax basis.

Inception Date 04/18/2018

CUSIP 41653L404

Morningstar[®] Category Intermediate Core Bond

Lipper Peer Group General & Insured Municipal Debt

Net Assets \$252 million

Shares Outstanding 13,000,000

IOPV Ticker HTAB.IV

Stock Exchange NYSE Arca

Overall Morningstar Rating[™]



426 Products | Intermediate Core Bond
Category Based on Risk-Adjusted Returns as of
3/31/24*

Performance (%)

	QTD	YTD	Average Annual Total Returns				SI
			1 Year	3 Year	5 Year	10 Year	
HTAB NAV	-0.54	-0.54	2.74	-0.79	1.32	—	1.97
HTAB Market Price	-0.59	-0.59	2.58	-0.71	1.30	—	2.00
Bloomberg Municipal Bond Index	-0.39	-0.39	3.13	-0.41	1.59	—	—
Morningstar Category	-0.51	-0.51	2.01	-2.45	0.36	—	—
Lipper Peer Group	0.21	0.21	3.97	-0.84	1.29	—	—

SI = Since Inception

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the performance data quoted. For more current performance information to the most recent month ended, please visit hartfordfunds.com.

Investors should carefully consider a fund's investment objectives, risks, charges and expenses. This and other important information is contained in a fund's full prospectus and summary prospectus, which can be obtained by visiting hartfordfunds.com. Please read it carefully before investing.

ETF shares are bought and sold at market price, not net asset value (NAV). Total returns are calculated using the daily 4:00 p.m. Eastern Time NAV. Market price returns reflect the midpoint of the bid/ask spread as of the close of trading on the exchange where Fund shares are listed. Market price returns do not represent the returns an investor would receive if they traded shares at other times. Brokerage commissions apply and will reduce returns.

Bloomberg Municipal Bond Index is designed to cover the USD-denominated long-term tax exempt bond market. Indices are unmanaged and not available for direct investment.

*Star Ratings: 3-year 5 stars out of 426 products, 5-year 5 stars out of 385 products for the period ended herein. Other share classes may have different ratings. The Morningstar Rating[™] for funds, or "star rating", is calculated for funds and separate accounts with at least a 3-year history. Exchange-traded funds and open-ended mutual funds are considered a single population for comparative purposes. Star rating based on a Morningstar Risk-Adjusted Return measure that accounts for variation in a managed product's monthly excess performance (without adjusting for any sales load, if applicable), placing more emphasis on downward variations and rewarding consistent performance. 5 stars are assigned to the top 10%, 4 stars to the next 22.5%, 3 stars to the next 35%, 2 stars to the next 22.5%, and 1 star to the bottom 10%. Overall Morningstar Rating is derived from a weighted average of the performance figures associated with its 3-, 5-, and 10-year (if applicable) Morningstar Rating metrics. For more information about the Morningstar Fund Ratings, including their methodology, please go to global.morningstar.com/managerdisclosures. ©2024 Morningstar, Inc. All rights reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

¹ Expenses are the total annual fund operating expenses as shown in the most recent prospectus.

ETFs are distributed by ALPS Distributors, Inc. (ALPS). Advisory services are provided by Hartford Funds Management Company, LLC (HFMC). Certain funds are sub-advised by Schroder Investment Management North America Inc (SIMNA). Schroder Investment Management North America Ltd. (SIMNA Ltd) serves as a secondary sub-adviser to certain funds. HFMC, SIMNA, and SIMNA Ltd. are all SEC registered investment advisers. Hartford Funds refers to Hartford Funds Distributors, LLC, Member FINRA, and HFMC, which are not affiliated with any sub-adviser or ALPS.

Index Provider Notices may be found at hartfordfunds.com/index-notices.

Portfolio Managers from Schroders

Julio C. Bonilla, CFA

Portfolio Manager
Professional Experience Since 1998

Neil G. Sutherland, CFA

Portfolio Manager
Professional Experience Since 1997

Lisa Hornby, CFA

Portfolio Manager
Professional Experience Since 2007

David May

Portfolio Manager
Professional Experience Since 2010

The portfolio managers are supported by the full resources of Schroders.

Total Operating Expenses¹: 0.40%

NOT FDIC INSURED • MAY LOSE VALUE • NO BANK GUARANTEE

hartfordfunds.com

Hartford Schrodgers Tax-Aware Bond ETF

Portfolio Composition	
Tax Exempt Municipal	79
US Treasuries	10
Cash and Cash Equivalents	5
Corporate	5
Taxable Municipal	1
Agency Mortgage Backed Securities	0
Asset Backed Securities	0
Cash	0
Government Related Agencies	0
Mortgage Backed Securities	0
Other	0

Top Ten Issuers (%)	
as of 12/31/23	
U.S. Treasury Bonds	3.86
Illinois Housing Dev Auth, IL, Rev	2.73
New York Power Auth, NY, Rev	2.67
Chapel Hill Independent School Dist/Smith County, TX, GO	2.57
New Caney Independent School Dist, TX, GO	2.41
Black Belt Energy Gas Dist, AL, Rev	2.10
Texas Municipal Gas Acquisition & Supply Corp. IV, TX, Rev	2.09
Main Street Natural Gas, Inc., GA, Rev	1.78
Minnesota Housing Finance Agency, MN, Rev	1.73
Patriots Energy Group Financing Agency, SC, Rev	1.63
Percentage Of Portfolio	23.57

Tax Exempt Municipal Sector Exposure	
General Obligation	33
Fed Agency	18
Corp Supported	10
Utilities	6
Transportation	3
Other Revenue	2
Sales Tax Revenue	2
Healthcare	1
Education	1
Leasing	1
Housing	0
Pre-Refunded	0
Floating Rate Bonds	0
Higher Education	0
Insured	0
Moral Obligation	0
Not for Profit	0
Other	0
Special Assessment	0
Tax Exempt Municipal	0
Tax Increment Financing	0

Top Ten Issuers (%)	
as of 3/31/24	
U.S. Treasury Bonds	10.02
Texas Municipal Gas Acquisition & Supply Corp. IV, TX, Rev	2.53
New Mexico Mortgage Finance Auth, NM, Rev	2.39
Illinois Housing Dev Auth, IL, Rev	2.22
New York Power Auth, NY, Rev	2.16
Valdosta & Lowndes County Hospital Auth, GA, Rev	2.10
Chapel Hill Independent School Dist, TX, GO	2.08
New Caney Independent School Dist, TX, GO	1.94
Black Belt Energy Gas Dist, AL, Rev	1.73
Main Street Natural Gas, Inc., GA, Rev	1.72
Percentage Of Portfolio	28.89

Net Assets	\$252 million
# of Holdings	242
# of Issuers	185
Dividend Frequency	Monthly

Holdings Characteristics	
Effective Duration	8.32 yrs.

Yields	
30-Day SEC Yield	3.60%
Unsubsidized 30-Day SEC Yield	3.60%

Credit Exposure† (%)	
Aaa/AAA	41
Aa/AA	41
A	13
Baa/BBB	5
Ba/BB	0
B	0
Caa/CCC or lower	0
Not Rated	0
Cash & Cash Offsets	0

† Credit exposure is the credit ratings for the underlying securities of the Fund as provided by Standard and Poor's (S&P), Moody's Investors Service, or Fitch and typically range from AAA/Aaa (highest) to C/D (lowest). If S&P, Moody's, and Fitch assign different ratings, the median rating is used. If only two agencies assign ratings, the lower rating is used. Securities that are not rated by any of the three agencies are listed as "Not Rated." Ratings do not apply to the Fund itself or to Fund shares. Ratings may change.

Benchmark Bloomberg Municipal Bond Index

Holdings and characteristics are subject to change. Percentages may be rounded.

Important Risks: Investing involves risk, including the possible loss of principal. The net asset value (NAV) of the Fund's shares may fluctuate due to changes in the market value of the Fund's holdings which may in-turn fluctuate due to market and economic conditions. The Fund's share price may fluctuate due to changes in the relative supply of and demand for the shares on an exchange. The Fund is actively managed and does not seek to replicate the performance of a specified index. • Fixed income security risks include credit, liquidity, call, duration, and interest-rate risk. As interest rates rise, bond prices generally fall. • The risks associated with mortgage-related and asset-backed securities include credit, interest-rate, prepayment, liquidity, default and extension risk. • The purchase of securities in the To-Be-Announced (TBA) market can result in higher portfolio turnover and related expenses as well as price and counterparty risk. • Obligations of U.S. Government agencies are supported by varying degrees of credit but are generally not backed by the full faith and credit of the U.S. Government. • Municipal securities may be adversely impacted by state/local, political, economic, or market conditions; these risks may be magnified if the Fund focuses its assets in municipal securities of issuers in a few select states. Investors may be subject to the federal Alternative Minimum Tax as well as state and local income taxes. Capital gains, if any, are taxable. • Derivatives are generally more volatile and sensitive to changes in market or economic conditions than other securities; their risks include currency, leverage, liquidity, index, pricing, regulatory, and counterparty risk. • Foreign investments may be more volatile and less liquid than U.S. investments and are subject to the risk of currency fluctuations and adverse political, economic and regulatory developments. • In certain instances, unlike other ETFs, the Fund may effect creations and redemptions partly or wholly for cash, rather than in-kind, which may make the Fund less tax-efficient and incur more fees than an ETF that primarily or wholly effects creations and redemptions in-kind. • The Fund may have high portfolio turnover, which could increase its transaction costs and an investor's tax liability. • Financially material environmental, social and/or governance (ESG) characteristics are one of several factors that may be considered. The Fund may perform differently from funds that do not integrate ESG into their analysis.

Effective Duration measures the sensitivity of an investment's price to nominal interest rate movement. **IOPV, or Indicative Optimized Portfolio Value**, is a calculation disseminated by the stock exchange that approximates the Fund's NAV every fifteen seconds throughout the trading day. **30-Day SEC Yield** reflects the hypothetical net current income earned, after the deduction of a fund's expenses, during a 30-day period, expressed as an annual percentage rate based on the fund's share price at the end of the period. Actual income distributions will usually differ. **Unsubsidized 30-Day SEC Yield** is the Fund's 30-Day SEC yield without the impact of fee/expense waivers. HFA002409