

**Report of Organizational Actions  
 Affecting Basis of Securities**

▶ See separate instructions.

**Part I Reporting Issuer**

<b>1</b> Issuer's name		<b>2</b> Issuer's employer identification number (EIN)	
THE HARTFORD FLOATING RATE HIGH INCOME FUND		45-2831094	
<b>3</b> Name of contact for additional information	<b>4</b> Telephone No. of contact	<b>5</b> Email address of contact	
DENISE LAUBER	610-386-6740	DENISE.LAUBER@HARTFORDFUNDS.COM	
<b>6</b> Number and street (or P.O. box if mail is not delivered to street address) of contact		<b>7</b> City, town, or post office, state, and Zip code of contact	
ONE HARTFORD PLAZA, T3		HARTFORD, CT 06155	
<b>8</b> Date of action		<b>9</b> Classification and description	
10/31/2016		NON-DIVIDEND DISTRIBUTIONS PAID IN 2016	
<b>10</b> CUSIP number	<b>11</b> Serial number(s)	<b>12</b> Ticker symbol	<b>13</b> Account number(s)
SEE ATTACHMENT	N/A	SEE ATTACHMENT	N/A

**Part II Organizational Action** Attach additional statements if needed. See back of form for additional questions.

**14** Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ▶ **THE HARTFORD FLOATING RATE HIGH INCOME FUND PAID NON-TAXABLE RETURN OF CAPITAL DISTRIBUTIONS TO ITS SHAREHOLDERS PER THE ATTACHED SCHEDULE.**

**15** Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ▶ **THE AMOUNT OF NON-TAXABLE RETURN OF CAPITAL, ON THE ATTACHED SCHEDULE, REPRESENTS AN ADJUSTMENT IN THE SHAREHOLDERS' TAX BASIS IN THEIR SHARES HELD.**

**16** Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ▶ **THE TAX BASIS OF THE SHAREHOLDERS' SHARES IS DECREASED BY THE PER SHARE AMOUNT OF NON-TAXABLE RETURN OF CAPITAL, ON THE ATTACHED SCHEDULE, MULTIPLIED BY THE NUMBER OF SHARES THE SHAREHOLDER OWNS.**

**Part II Organizational Action** (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ► **PURSUANT TO IRC SEC. 301 (C)(1), THE PORTION OF A DISTRIBUTION, WHICH IS A DIVIDEND (AS DEFINED IN IRC SEC. 316), IS INCLUDIBLE IN GROSS INCOME. PURSUANT TO IRC SEC. 301 (C)(2), THE PORTION OF A DISTRIBUTION, WHICH IS NOT A DIVIDEND, SHALL BE APPLIED AGAINST AND REDUCE THE ADJUSTED TAX BASIS OF THE STOCK.**

18 Can any resulting loss be recognized? ► **NO LOSS WILL BE RECOGNIZED AS A RESULT OF ANY NON-TAXABLE RETURN OF CAPITAL. A LOSS MAY BE REALIZED UPON THE DISPOSITION OF SHARES.**

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ► **PURSUANT TO IRC SEC. 6045, BROKERS ARE REQUIRED TO REFLECT THESE ADJUSTMENTS IN COST BASIS REPORTING FOR COVERED SECURITIES. IF A BROKER IS NOT REQUIRED TO PROVIDE COST BASIS TO A PARTICULAR SHAREHOLDER, THE COST BASIS OF THE SHAREHOLDER'S SHARES SHOULD BE ADJUSTED AS OF THE DISTRIBUTION DATE TO REFLECT THE RETURN OF CAPITAL DESCRIBED ABOVE. THE FINAL AMOUNT OF TAXABLE DIVIDENDS AND NON-TAXABLE RETURN OF CAPITAL ( I.E. NON-DIVIDEND DISTRIBUTIONS) WILL BE REPORTED TO SHAREHOLDERS ON THEIR 2016 FORM 1099-DIV.**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature ►  Date ► 11/17/17

Print your name ► Denise Lauber

ORIGINAL SIGNED BY:

Title ► Assistant Treasurer

**Paid Preparer Use Only**

Print/Type preparer's name <b>Stanley Chan</b>	Preparer's signature <b>Stanley Chan</b>	Date <b>11/13/17</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00730315</b>
Firm's name ► <b>State Street Corporation</b>			Firm's EIN ► <b>04-1867445</b>	
Firm's address ► <b>One Iron Street, Boston, MA 02210</b>			Phone no. <b>617-786-3000</b>	

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

The Hartford Floating Rate High Income Fund  
Form 8937 Appendix A  
FISCAL YEAR ENDED: October 31, 2016  
EIN : 45-2831094

Share Class	Box 10 CUSIP Number	Box 12 Ticker Symbol	Record Date	Ex Date	Payable Date	Per Share Distribution	Record Date Shares	Total Distribution	Return of Capital Per Class	Box 14 per share Return of Capital	Per share Taxable Dividend	Total Per Share	
<b>2016 Daily Distributions - Class Y</b>													
Class Y	41664M110	HFHYX	Daily	Daily	2/1/2016	0.045567369	378,778.068	17,259.92	1,196.82	0.003159699	0.042407670	0.045567369	
Class Y	41664M110	HFHYX	Daily	Daily	3/1/2016	0.043711457	370,723.859	16,204.88	1,123.67	0.003031008	0.040680449	0.043711457	
Class Y	41664M110	HFHYX	Daily	Daily	4/1/2016	0.045003967	324,963.353	14,624.64	1,014.09	0.003120632	0.041883335	0.045003967	
Class Y	41664M110	HFHYX	Daily	Daily	5/2/2016	0.042278031	320,346.754	13,543.63	939.13	0.002931613	0.039346418	0.042278031	
Class Y	41664M110	HFHYX	Daily	Daily	6/1/2016	0.044553570	319,060.627	14,215.29	985.71	0.003089401	0.041464169	0.044553570	
Class Y	41664M110	HFHYX	Daily	Daily	7/1/2016	0.042239220	319,119.056	13,479.34	934.67	0.002928921	0.039310299	0.042239220	
Class Y	41664M110	HFHYX	Daily	Daily	8/1/2016	0.042603773	318,653.702	13,575.85	941.37	0.0029542	0.039649573	0.042603773	
Class Y	41664M110	HFHYX	Daily	Daily	9/1/2016	0.042797010	314,893.961	13,476.52	934.48	0.002967599	0.039829411	0.042797010	
Class Y	41664M110	HFHYX	Daily	Daily	10/3/2016	0.040142919	268,699.693	10,786.39	747.94	0.002783561	0.037359358	0.040142919	
Class Y	41664M110	HFHYX	Daily	Daily	11/1/2016	0.034404717	371,387.737	12,777.49	886.01	0.002385667	0.032019050	0.034404717	
									<b>139,943.95</b>	<b>9,703.89</b>	<b>0.029352301</b>	<b>0.393949732</b>	<b>0.423302033</b>
									<b>Class Y ROC %</b>	<b>6.9341%</b>			

<b>2016 Daily Distributions - Class R5</b>													
Class R5	41664M128	HFHTX	Daily	Daily	2/1/2016	0.045692772	4,315.343	197.18	13.67	0.003168395	0.042524377	0.045692772	
Class R5	41664M128	HFHTX	Daily	Daily	3/1/2016	0.043717967	4,348.555	190.11	13.18	0.003031446	0.040686507	0.043717967	
Class R5	41664M128	HFHTX	Daily	Daily	4/1/2016	0.045045796	4,381.763	197.38	13.69	0.003123533	0.041922263	0.045045796	
Class R5	41664M128	HFHTX	Daily	Daily	5/2/2016	0.040809085	26,038.810	1,062.62	73.68	0.002829754	0.037979331	0.040809085	
Class R5	41664M128	HFHTX	Daily	Daily	6/1/2016	0.044544166	41,682.226	1,856.70	128.75	0.003088749	0.041455417	0.044544166	
Class R5	41664M128	HFHTX	Daily	Daily	7/1/2016	0.042318843	41,971.611	1,776.19	123.16	0.002934442	0.039384401	0.042318843	
Class R5	41664M128	HFHTX	Daily	Daily	8/1/2016	0.042596236	42,164.289	1,796.04	124.54	0.002953677	0.039642559	0.042596236	
Class R5	41664M128	HFHTX	Daily	Daily	9/1/2016	0.042730706	44,698.770	1,910.01	132.44	0.002963002	0.039767704	0.042730706	
Class R5	41664M128	HFHTX	Daily	Daily	10/3/2016	0.040114955	51,009.655	2,046.25	141.89	0.002781622	0.037333333	0.040114955	
Class R5	41664M128	HFHTX	Daily	Daily	11/1/2016	0.034481176	60,190.813	2,075.45	143.91	0.002390969	0.032090207	0.034481176	
									<b>13,107.93</b>	<b>908.92</b>	<b>0.029265603</b>	<b>0.392786099</b>	<b>0.422051702</b>
									<b>Class R5 ROC %</b>	<b>6.9341%</b>			

<b>2016 Daily Distributions - Class R4</b>													
Class R4	41664M136	HFHSX	Daily	Daily	2/1/2016	0.043275580	50,137.976	2,169.75	150.45	0.003000784	0.040274796	0.043275580	
Class R4	41664M136	HFHSX	Daily	Daily	3/1/2016	0.041583818	50,402.298	2,095.92	145.33	0.002883475	0.038700343	0.041583818	
Class R4	41664M136	HFHSX	Daily	Daily	4/1/2016	0.042708693	50,732.295	2,166.71	150.24	0.002961475	0.039747218	0.042708693	
Class R4	41664M136	HFHSX	Daily	Daily	5/2/2016	0.038862394	51,006.122	1,982.22	137.45	0.002694768	0.036167626	0.038862394	
Class R4	41664M136	HFHSX	Daily	Daily	6/1/2016	0.042155261	51,255.050	2,160.67	149.82	0.002923099	0.039232162	0.042155261	
Class R4	41664M136	HFHSX	Daily	Daily	7/1/2016	0.040029390	51,728.742	2,070.67	143.58	0.002775689	0.037253701	0.040029390	
Class R4	41664M136	HFHSX	Daily	Daily	8/1/2016	0.040170766	51,024.419	2,049.69	142.13	0.002785492	0.037385274	0.040170766	
Class R4	41664M136	HFHSX	Daily	Daily	9/1/2016	0.040272587	50,865.369	2,048.48	142.04	0.002792552	0.037480035	0.040272587	
Class R4	41664M136	HFHSX	Daily	Daily	10/3/2016	0.037771696	51,375.241	1,940.53	134.56	0.002619138	0.035152558	0.037771696	
Class R4	41664M136	HFHSX	Daily	Daily	11/1/2016	0.032018801	51,613.738	1,652.61	114.59	0.002220224	0.029798577	0.032018801	
									<b>20,337.25</b>	<b>1,410.21</b>	<b>0.027656696</b>	<b>0.371192290</b>	<b>0.398848986</b>
									<b>Class R4 ROC %</b>	<b>6.9341%</b>			

<b>2016 Daily Distributions - Class R3</b>													
Class R3	41664M144	HFHRX	Daily	Daily	2/1/2016	0.041023660	46,085.357	1,890.59	131.10	0.002844633	0.038179027	0.041023660	
Class R3	41664M144	HFHRX	Daily	Daily	3/1/2016	0.039518728	46,638.647	1,843.10	127.80	0.002740279	0.036778449	0.039518728	
Class R3	41664M144	HFHRX	Daily	Daily	4/1/2016	0.04049776	46,839.073	1,894.63	131.38	0.002804839	0.037644937	0.04049776	
Class R3	41664M144	HFHRX	Daily	Daily	5/2/2016	0.037807523	42,361.146	1,601.57	111.05	0.002621622	0.035185901	0.037807523	
Class R3	41664M144	HFHRX	Daily	Daily	6/1/2016	0.039801476	32,613.866	1,298.08	90.01	0.002759885	0.037041591	0.039801476	
Class R3	41664M144	HFHRX	Daily	Daily	7/1/2016	0.037666570	32,667.960	1,230.49	85.32	0.002611848	0.035054722	0.037666570	
Class R3	41664M144	HFHRX	Daily	Daily	8/1/2016	0.037804184	32,878.107	1,242.93	86.19	0.00262139	0.035182794	0.037804184	
Class R3	41664M144	HFHRX	Daily	Daily	9/1/2016	0.037858307	33,155.207	1,255.20	87.04	0.002625143	0.035233164	0.037858307	
Class R3	41664M144	HFHRX	Daily	Daily	10/3/2016	0.035383815	32,541.714	1,151.45	79.84	0.002453959	0.032930256	0.035383815	
Class R3	41664M144	HFHRX	Daily	Daily	11/1/2016	0.029539819	32,334.321	955.15	66.23	0.002048329	0.027491490	0.029539819	
									<b>14,363.19</b>	<b>995.96</b>	<b>0.026131527</b>	<b>0.350722331</b>	<b>0.376853858</b>
									<b>Class R3 ROC %</b>	<b>6.9341%</b>			

The Hartford Floating Rate High Income Fund  
Form 8937 Appendix A  
FISCAL YEAR ENDED: October 31, 2016  
EIN : 45-2831094

Share Class	Box 10 CUSIP Number	Box 12 Ticker Symbol	Record Date	Ex Date	Payable Date	Per Share Distribution	Record Date Shares	Total Distribution	Return of Capital Per Class	Box 14 per share Return of Capital	Per share Taxable Dividend	Total Per Share
Class I	41664M151	HFHIX	Daily	Daily	2/1/2016	0.045322591	11,904,489.750	539,542.32	37,412.55	0.003142726	0.042179865	0.045322591
Class I	41664M151	HFHIX	Daily	Daily	3/1/2016	0.043467753	10,468,521.573	455,043.11	31,553.27	0.003014109	0.040453644	0.043467753
Class I	41664M151	HFHIX	Daily	Daily	4/1/2016	0.044765331	10,204,153.299	456,792.30	31,674.56	0.003104085	0.041661246	0.044765331
Class I	41664M151	HFHIX	Daily	Daily	5/2/2016	0.042036954	9,905,454.377	416,395.13	28,873.37	0.002914896	0.039122058	0.042036954
Class I	41664M151	HFHIX	Daily	Daily	6/1/2016	0.044289533	9,856,064.863	436,520.51	30,268.89	0.003071093	0.041218440	0.044289533
Class I	41664M151	HFHIX	Daily	Daily	7/1/2016	0.041994146	9,673,691.900	406,238.43	28,169.09	0.002911928	0.039082218	0.041994146
Class I	41664M151	HFHIX	Daily	Daily	8/1/2016	0.042331333	9,268,303.221	392,339.63	27,205.33	0.002935309	0.039396024	0.042331333
Class I	41664M151	HFHIX	Daily	Daily	9/1/2016	0.042421845	9,343,450.055	396,366.39	27,484.55	0.002941585	0.039480260	0.042421845
Class I	41664M151	HFHIX	Daily	Daily	10/3/2016	0.039893538	10,594,002.718	422,632.25	29,305.86	0.002766269	0.037127269	0.039893538
Class I	41664M151	HFHIX	Daily	Daily	11/1/2016	0.034138694	14,632,495.607	499,534.29	34,638.34	0.002367221	0.031771473	0.034138694

<b>4,421,404.36</b>	<b>306,585.81</b>	<b>0.029169221</b>	<b>0.391492497</b>	<b>0.420661718</b>
<b>Class I ROC %</b>	<b>6.9341%</b>			

2016 Daily Distributions - Class C

Class C	41664M169	HFHCX	Daily	Daily	2/1/2016	0.037603051	9,610,731.853	361,392.84	25,059.44	0.002607443	0.034995608	0.037603051
Class C	41664M169	HFHCX	Daily	Daily	3/1/2016	0.036393239	9,448,961.111	343,878.30	23,844.96	0.002523554	0.033869685	0.036393239
Class C	41664M169	HFHCX	Daily	Daily	4/1/2016	0.037032950	9,230,705.898	341,840.27	23,703.64	0.002567912	0.034485038	0.037032950
Class C	41664M169	HFHCX	Daily	Daily	5/2/2016	0.034549846	9,057,871.343	312,948.06	21,700.22	0.00239573	0.032154116	0.034549846
Class C	41664M169	HFHCX	Daily	Daily	6/1/2016	0.036227191	8,901,201.310	322,465.52	22,360.17	0.00251204	0.033715151	0.036227191
Class C	41664M169	HFHCX	Daily	Daily	7/1/2016	0.034135108	8,795,078.076	300,220.94	20,817.70	0.002366972	0.031768136	0.034135108
Class C	41664M169	HFHCX	Daily	Daily	8/1/2016	0.034195987	8,687,006.461	297,060.76	20,598.57	0.002371193	0.031824794	0.034195987
Class C	41664M169	HFHCX	Daily	Daily	9/1/2016	0.034219365	8,577,955.202	293,532.18	20,353.90	0.002372814	0.031846551	0.034219365
Class C	41664M169	HFHCX	Daily	Daily	10/3/2016	0.031880930	8,537,042.991	272,168.87	18,872.54	0.002210664	0.029670266	0.031880930
Class C	41664M169	HFHCX	Daily	Daily	11/1/2016	0.025754269	8,499,217.353	218,891.13	15,178.19	0.001785834	0.023968435	0.025754269

<b>3,064,398.87</b>	<b>212,489.32</b>	<b>0.023714156</b>	<b>0.318277780</b>	<b>0.341991936</b>
<b>Class C ROC %</b>	<b>6.9341%</b>			

2016 Daily Distributions - Class A

Class A	41664M177	HFHAX	Daily	Daily	2/1/2016	0.043365579	12,723,395.207	551,757.40	38,259.56	0.003007024	0.040358555	0.043365579
Class A	41664M177	HFHAX	Daily	Daily	3/1/2016	0.041653816	11,909,104.318	496,059.64	34,397.41	0.002888329	0.038765487	0.041653816
Class A	41664M177	HFHAX	Daily	Daily	4/1/2016	0.042791142	11,851,639.061	507,145.17	35,166.09	0.002967192	0.039823950	0.042791142
Class A	41664M177	HFHAX	Daily	Daily	5/2/2016	0.040232565	11,858,291.411	477,089.48	33,081.99	0.002789777	0.037442788	0.040232565
Class A	41664M177	HFHAX	Daily	Daily	6/1/2016	0.042252673	11,545,622.924	487,833.43	33,826.99	0.002929854	0.039322819	0.042252673
Class A	41664M177	HFHAX	Daily	Daily	7/1/2016	0.039974015	11,438,386.662	457,238.24	31,705.48	0.002771849	0.037202166	0.039974015
Class A	41664M177	HFHAX	Daily	Daily	8/1/2016	0.040268422	11,401,821.507	459,133.36	31,836.89	0.002792265	0.037476157	0.040268422
Class A	41664M177	HFHAX	Daily	Daily	9/1/2016	0.040342094	11,364,533.532	458,469.08	31,790.83	0.002797372	0.037544722	0.040342094
Class A	41664M177	HFHAX	Daily	Daily	10/3/2016	0.037868087	11,739,142.249	444,538.86	30,824.89	0.002625821	0.035242266	0.037868087
Class A	41664M177	HFHAX	Daily	Daily	11/1/2016	0.031979283	12,252,961.706	391,840.93	27,170.75	0.002217484	0.029761799	0.031979283

<b>4,731,105.59</b>	<b>328,060.89</b>	<b>0.027786967</b>	<b>0.372940709</b>	<b>0.400727676</b>
<b>Class A ROC %</b>	<b>6.9341%</b>			

ROC per 10-31-16 PROV			
Total 2016 Distributions A	12,404,661		
ROC per 10.31.16 Provision B	\$860,155		
B/A=C	C	6.93%	

Total Distributions	12,404,661.14	860,155.00
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