

Part II Organizational Action (continued)

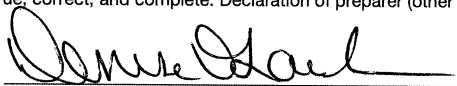
17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ► **PURSUANT TO IRC SEC. 301(C)(1), THE PORTION OF A DISTRIBUTION, WHICH IS A DIVIDEND (AS DEFINED IN IRC SEC. 316), IS INCLUDIBLE IN GROSS INCOME. PURSUANT TO IRC SEC. 301(C)(2), THE PORTION OF A DISTRIBUTION, WHICH IS NOT A DIVIDEND, SHALL BE APPLIED AGAINST AND REDUCE THE ADJUSTED TAX BASIS OF THE STOCK.**

18 Can any resulting loss be recognized? ► **NO LOSS WILL BE RECOGNIZED AS A RESULT OF ANY NON-TAXABLE RETURN OF CAPITAL. A LOSS MAY BE REALIZED UPON THE DISPOSITION OF SHARES.**

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ► **PURSUANT TO IRC SEC. 6045, BROKERS ARE REQUIRED TO REFLECT THESE ADJUSTMENTS IN COST BASIS REPORTING FOR COVERED SECURITIES. IF A BROKER IS NOT REQUIRED TO PROVIDE COST BASIS TO A PARTICULAR SHAREHOLDER, THE COST BASIS OF THE SHAREHOLDER'S SHARES SHOULD BE ADJUSTED AS OF THE DISTRIBUTION DATE TO REFLECT THE RETURN OF CAPITAL DESCRIBED ABOVE. THE FINAL AMOUNT OF TAXABLE DIVIDENDS AND NON-TAXABLE RETURN OF CAPITAL (I.E. NON-DIVIDEND DISTRIBUTIONS) WILL BE REPORTED TO SHAREHOLDERS ON THEIR 2018 FORM 1099-DIV.**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature ► 

Date ► 1/15/19

Print your name ► DENISE D. LAUBER

Title ► ASSISTANT TREASURER

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
Firm's name ►			Firm's EIN ►	
Firm's address ►			Phone no.	

Hartford Multifactor REIT ETF
 Form 8937 Appendix A
 FISCAL YEAR ENDED: September 30th, 2018
 EIN: 47-3112258

Box 10 CUSIP Number	Box 12 Ticker Symbol	Record Date	Ex Date	Payable Date	Per Share Distribution	Record Date Shares	Total Distribution	Return of Capital Per Class	Box 14 per share Return of Capital	Per share Taxable Dividend	Total Per Share																																																			
2018 Quarterly Distributions																																																														
518416300	RORE	3/23/2018	3/22/2018	3/27/2018	0.208547000	800,000,000	166,837.60	19,872.00	0.024840000	0.183707000	0.208547000																																																			
518416300	RORE	6/22/2018	6/21/2018	6/26/2018	0.050477000	2,100,000,000	106,001.70	12,626.00	0.006012381	0.044464619	0.050477000																																																			
518416300	RORE	9/24/2018	9/21/2018	9/26/2018	0.177776000	1,800,000,000	319,996.80	38,115.00	0.021175000	0.156601000	0.177776000																																																			
							592,836.10	70,613.00	0.052027381	0.384772619	0.436800000																																																			
							ROC %	11.9110%																																																						
Total Distributions							592,836	70,613																																																						
<table border="1"> <tr> <td colspan="12">ROC per 09-30-18 FISCAL YEAR END</td> </tr> <tr> <td colspan="7">Total 2018 Distributions A</td> <td>592,836</td> <td colspan="5"></td> </tr> <tr> <td colspan="7">ROC per 09-30-18 FISCAL YEAR END B</td> <td>\$70,613</td> <td colspan="5"></td> </tr> <tr> <td colspan="7">B/A=C</td> <td>11.9111%</td> <td colspan="5"></td> </tr> </table>												ROC per 09-30-18 FISCAL YEAR END												Total 2018 Distributions A							592,836						ROC per 09-30-18 FISCAL YEAR END B							\$70,613						B/A=C							11.9111%					
ROC per 09-30-18 FISCAL YEAR END																																																														
Total 2018 Distributions A							592,836																																																							
ROC per 09-30-18 FISCAL YEAR END B							\$70,613																																																							
B/A=C							11.9111%																																																							