

Hartford Funds Broker Dealer Change Form

Purpose

Use this form to effect a broker dealer change on an individual account or a block transfer of accounts. Please indicate the type of change below.

Type of change requested:

Individual account change Block transfer of accounts

Note

Individual account changes require completion of section D. Block transfers require completion of section E, including Medallion Signature Guarantee from both the releasing and accepting firm.

Section A - Hartford Funds Account Owner Information

Account Number(s)			
Account Registration		Daytime Phone Number	
Residential Address (P.O. Boxes not allowed)	City	State	ZIP Code

Section B - Current Broker/Dealer Information for Referenced Accounts

Firm Name	Branch Phone Number
Registered Representative's Name	Registered Representative's Phone Number

Section C - New Broker/Dealer Information for Referenced Accounts

Registered Representative's Name	Broker/Dealer Name		
Dealer Number	Branch Number		
Branch Street Address	City	State	ZIP Code
Telephone Number	Registered Representative's Number		

Section D - Owner Authorization for Individual Account Changes only (all registered owners must sign)

Owner's Signature	Date (mm/dd/yyyy)
Joint Owner's Signature	Date (mm/dd/yyyy)

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Section E - Authorized Signatures for Block Transfers only (to be completed by Broker/Dealer)

_____ certifies to Hartford Funds that it holds client authorizations for the transfers requested herein.
Broker/Dealer Name

A list of accounts is attached: Yes No

Releasing firm:

Authorized Signature		Date (mm/dd/yyyy)
Authorized Name and Title	Firm Name	

Medallion Signature Guarantee Stamp Here
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Accepting firm:

Authorized Signature		Date (mm/dd/yyyy)
Authorized Name and Title	Firm Name	

Medallion Signature Guarantee Stamp Here
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**Fax this completed form to (888) 802-0039,
or mail it to the appropriate address below.**

**For standard mail delivery,
please mail this form to:**

Hartford Funds
P.O. Box 219060
Kansas City, MO 64121-9060

**For private express mail,
please mail this form to:**

Hartford Funds
430 W 7th Street Suite 219060
Kansas City, MO 64105-1407

If you have questions or require more information, contact your financial professional or call Hartford Funds at (888) 843-7824.