

MARCH 31, 2024

# Hartford Floating Rate Fund

**HARTFORDFUNDS**

Our benchmark is the investor.<sup>®</sup>

Seeks to provide high current income and long-term total return.

**Tickers** A: HFLAX C: HFLCX F: HFLFX I: HFLIX R3: HFLRX  
R4: HFLSX R5: HFLTX Y: HFLYX

**Inception Date** 04/29/2005

**Morningstar<sup>®</sup> Category** Bank Loan

**Lipper Peer Group** Loan Participation

## Portfolio Managers from Wellington Management

### David B. Marshak

Managing Director  
Fixed-Income Portfolio Manager  
Professional Experience Since 1995

### Jeffrey W. Heuer, CFA

Managing Director  
Fixed-Income Portfolio Manager  
Professional Experience Since 1989

The portfolio managers are supported by the full resources of Wellington.

## Expenses (%)<sup>1</sup>

	R3	R4	R5	Y
Gross	1.39	1.12	0.82	0.80
Net	1.29	1.04	0.82	0.79

## Performance (%)

Class	QTD	YTD	Average Annual Total Returns					SI
			1 Year	3 Year	5 Year	10 Year		
R3	1.80	1.80	10.01	3.38	3.37	3.02	3.53	
R4	1.86	1.86	10.32	3.63	3.62	3.27	3.75	
R5	1.91	1.91	10.57	3.90	3.87	3.54	3.99	
Y	1.92	1.92	10.48	3.90	3.87	3.57	4.05	
Morningstar LSTA US Leveraged Loan Index	2.51	2.51	12.47	5.99	5.48	4.55	—	
Morningstar Category	2.33	2.33	11.57	4.77	4.14	3.49	—	
Lipper Peer Group	2.29	2.29	11.24	4.72	4.07	3.46	—	

Share Class Inception: R3, R4, R5 - 12/22/06; Y - 4/29/05. Performance shown prior to the inception of a class reflects performance and operating expenses of another class(es). Had fees and expenses of a class been reflected for the periods prior to the inception of that class, performance would be different. Since inception (SI) performance is from 4/29/05. Performance and expenses for other share classes will vary. Additional information is in the prospectus.

**Morningstar LSTA US Leveraged Loan Index** is a market-value-weighted index that is designed to measure the performance of the U.S. leveraged loan market based upon market weightings, spreads and interest payments. Indices are unmanaged and not available for direct investment.

## Calendar Year Returns (%) Y Shares

2023	2022	2021	2020	2019	2018	2017	2016	2015	2014
11.14	-3.42	4.35	1.59	8.54	-0.64	4.57	11.56	-1.82	0.07

Performance data quoted represents past performance and does not guarantee future results. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the performance data quoted. For more current performance information to the most recent month ended, please visit [hartfordfunds.com](http://hartfordfunds.com).

Investors should carefully consider a fund's investment objectives, risks, charges and expenses. This and other important information is contained in a fund's full prospectus and summary prospectus, which can be obtained by visiting [hartfordfunds.com](http://hartfordfunds.com). Please read it carefully before investing.

<sup>1</sup> Expenses as shown in the Fund's most recent prospectus. Gross expenses do not reflect contractual expense reimbursement arrangements, if any. Net expenses reflect such arrangements in instances when they reduce gross expenses. These arrangements remain in effect until at least 2/28/25. Without these arrangements, performance would have been lower.

**NOT FDIC INSURED • MAY LOSE VALUE • NO BANK GUARANTEE**

[hartfordfunds.com](http://hartfordfunds.com)

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## Sector Exposure (%)

	Fund		Benchmark	Difference
	12/31/23	3/31/24	3/31/24	3/31/24
Other	8	9	2	7
Financial Institutions	17	16	11	5
Communications	14	13	9	4
Consumer Cyclical	22	22	19	3
Energy	3	3	2	1
Utilities	0	0	1	-1
Basic Industry	1	3	5	-2
Capital Goods	8	10	12	-2
Transportation	2	2	4	-2
Consumer Non-Cyclical	12	11	15	-4
Technology	17	16	20	-4
Cash, Cash Equivalents and Cash Offsets	-5	-5	0	-5

## Top Ten Issuers (%)

### as of 12/31/23

SPDR Blackstone Senior Loan ETF	2.96
Asurion LLC	2.26
Acrisure LLC	1.75
First Brands Group LLC	1.61
UFC Holdings LLC	1.50
HUB International Ltd.	1.49
McAfee Corp.	1.42
MH Sub I LLC	1.42
Sedgwick Claims Management Services, Inc.	1.30
USI, Inc.	1.24

### Percentage Of Portfolio

16.95

## Top Ten Issuers (%)

### as of 3/31/24

SPDR Blackstone Senior Loan ETF	2.84
Asurion LLC	1.97
Acrisure LLC	1.58
First Brands Group LLC	1.48
MH Sub I LLC	1.38
HUB International Ltd.	1.29
McAfee Corp.	1.27
Sedgwick Claims Management Services, Inc.	1.24
Peraton Corp.	1.20
UFC Holdings LLC	1.17

### Percentage Of Portfolio

15.42

Net Assets	\$1.3 billion
# of Holdings	352
# of Issuers	276
Dividend Frequency	Monthly

## Holdings Characteristics

Effective Duration	0.23 yrs.
Average Bank Loan Price	\$96.22
% in Bank Loans	95%

## Yields (Class Y)

30-Day SEC Yield	7.68%
Unsubsidized 30-Day SEC Yield	7.66%

## Credit Exposure<sup>†</sup> (%)

Aaa/AAA	0
Aa/AA	1
A	0
Baa/BBB	4
Ba/BB	23
B	68
Caa/CCC or lower	4
Not Rated	6
Cash & Cash Offsets	-5

<sup>†</sup> Credit exposure is the credit ratings for the underlying securities of the Fund as provided by Standard and Poor's (S&P), Moody's Investors Service, or Fitch and typically range from AAA/Aaa (highest) to C/D (lowest). If S&P, Moody's, and Fitch assign different ratings, the highest rating is used. If only two agencies assign ratings, the highest rating is used. Securities that are not rated by any of the three agencies are listed as "Not Rated." Ratings do not apply to the Fund itself or to Fund shares. Ratings may change.

**Benchmark** Morningstar LSTA US Leveraged Loan Index

Holdings and characteristics are subject to change. Percentages may be rounded.

**Important Risks:** Investing involves risk, including the possible loss of principal. Security prices fluctuate in value depending on general market and economic conditions and the prospects of individual companies. • Loans can be difficult to value and less liquid than other types of debt instruments; they are also subject to nonpayment, collateral, bankruptcy, default, extension, prepayment and insolvency risks. • Fixed income security risks include credit, liquidity, call, duration, event and interest-rate risk. As interest rates rise, bond prices generally fall. • Investments in high-yield ("junk") bonds involve greater risk of price volatility, illiquidity, and default than higher-rated debt securities. • Derivatives are generally more volatile and sensitive to changes in market or economic conditions than other securities; their risks include currency, leverage, liquidity, index, pricing, regulatory and counterparty risk. • Foreign investments may be more volatile and less liquid than U.S. investments and are subject to the risk of currency fluctuations and adverse political, economic and regulatory developments. • The Fund's investments may fluctuate in value over a short period of time. • Financially material environmental, social and/or governance (ESG) characteristics are one of several factors that may be considered and as a result, the investment process may not work as intended. *The Fund should not be considered an alternative to CDs or money market funds. This Fund is intended for investors who are looking to complement their traditional fixed-income investments.*

**Effective Duration** measures the sensitivity of an asset or portfolio's price to nominal interest rate movement. **30-Day SEC Yield** reflects the hypothetical net current income earned, after the deduction of a fund's expenses, during a 30-day period, expressed as an annual percentage rate based on the fund's share price at the end of the period. Actual income distributions will usually differ. **Unsubsidized 30-Day SEC Yield** is the Fund's 30-Day SEC yield without the impact of fee/expense waivers.

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