

Report of Organizational Actions Affecting Basis of Securities

▶ See separate instructions.

Part I Reporting Issuer

1 Issuer's name		2 Issuer's employer identification number (EIN)	
THE HARTFORD FLOATING RATE HIGH INCOME FUND		45-2831094	
3 Name of contact for additional information	4 Telephone No. of contact	5 Email address of contact	
DENISE LAUBER	610-386-6740	DENISE.LAUBER@HARTFORDFUNDS.COM	
6 Number and street (or P.O. box if mail is not delivered to street address) of contact		7 City, town, or post office, state, and Zip code of contact	
ONE HARTFORD PLAZA, T3		HARTFORD, CT 06155	
8 Date of action		9 Classification and description	
10/31/2015		NON-DIVIDEND DISTRIBUTIONS PAID IN 2015	
10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
SEE ATTACHMENT	N/A	SEE ATTACHMENT	N/A

Part II Organizational Action Attach additional statements if needed. See back of form for additional questions.

14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ▶ THE HARTFORD FLOATING RATE HIGH INCOME FUND PAID NON-TAXABLE RETURN OF CAPITAL DISTRIBUTIONS TO ITS SHAREHOLDERS PER THE ATTACHED SCHEDULE.

15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ▶ THE AMOUNT OF NON-TAXABLE RETURN OF CAPITAL, ON THE ATTACHED SCHEDULE, REPRESENTS AN ADJUSTMENT IN THE SHAREHOLDERS' TAX BASIS IN THEIR SHARES HELD.

16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ▶ THE TAX BASIS OF THE SHAREHOLDERS' SHARES IS DECREASED BY THE PER SHARE AMOUNT OF NON-TAXABLE RETURN OF CAPITAL, ON THE ATTACHED SCHEDULE, MULTIPLIED BY THE NUMBER OF SHARES THE SHAREHOLDER OWNS.

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ► PURSUANT TO IRC SEC. 301 (C)(1), THE PORTION OF A DISTRIBUTION, WHICH IS A DIVIDEND (AS DEFINED IN IRC SEC. 316), IS INCLUDIBLE IN GROSS INCOME. PURSUANT TO IRC SEC. 301 (C)(2), THE PORTION OF A DISTRIBUTION, WHICH IS NOT A DIVIDEND, SHALL BE APPLIED AGAINST AND REDUCE THE ADJUSTED TAX BASIS OF THE STOCK.

18 Can any resulting loss be recognized? ► NO LOSS WILL BE RECOGNIZED AS A RESULT OF ANY NON-TAXABLE RETURN OF CAPITAL. A LOSS MAY BE REALIZED UPON THE DISPOSITION OF SHARES.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ► PURSUANT TO IRC SEC. 6045, BROKERS ARE REQUIRED TO REFLECT THESE ADJUSTMENTS IN COST BASIS REPORTING FOR COVERED SECURITIES. IF A BROKER IS NOT REQUIRED TO PROVIDE COST BASIS TO A PARTICULAR SHAREHOLDER, THE COST BASIS OF THE SHAREHOLDER'S SHARES SHOULD BE ADJUSTED AS OF THE DISTRIBUTION DATE TO REFLECT THE RETURN OF CAPITAL DESCRIBED ABOVE. THE FINAL AMOUNT OF TAXABLE DIVIDENDS AND NON-TAXABLE RETURN OF CAPITAL (I.E. NON-DIVIDEND DISTRIBUTIONS) WILL BE REPORTED TO SHAREHOLDERS ON THEIR 2015 FORM 1099-DIV.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature ► Denise Lauber Date ► 1/15/16

Print your name ► Denise Lauber Title ► Assistant Treasurer

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Peter Garrity	<u>Peter Garrity</u>	<u>1/14/16</u>		P01063407
	Firm's name ► State Street Corporation			Firm's EIN ►	04-1867445
	Firm's address ► One Iron Street, Boston, MA 02210			Phone no.	617-662-3975

The Hartford Floating Rate High Income Fund
Form 8937 Appendix A
FISCAL YEAR ENDED: October 31, 2015
EIN : 45-2831094

Share Class	Box 10 CUSIP Number	Box 12 Ticker Symbol	Record Date	Ex Date	Payable Date	Per Share Distribution	Record Date Shares	Total Distribution	Return of Capital Per Class	Box 14 per share Return of Capital	Per share Taxable Dividend	Total Per Share
2015 Daily Distributions - Class Y												
Class Y	41664M110	HFHYX	Daily	Daily	2/3/2015	0.044700000	1,204,448.993	53,838.87	12,224.97	0.010149846	0.034550154	0.044700000
Class Y	41664M110	HFHYX	Daily	Daily	3/2/2015	0.043133000	1,396,362.646	60,229.31	13,676.02	0.009794034	0.033338966	0.043133000
Class Y	41664M110	HFHYX	Daily	Daily	4/1/2015	0.044019964	1,325,983.592	58,369.75	13,253.78	0.009995433	0.034024531	0.044019964
Class Y	41664M110	HFHYX	Daily	Daily	5/1/2015	0.043089510	1,259,571.993	54,274.34	12,323.85	0.009784159	0.033305351	0.043089510
Class Y	41664M110	HFHYX	Daily	Daily	6/1/2015	0.038798535	1,232,919.748	47,835.48	10,861.81	0.008809825	0.029988710	0.038798535
Class Y	41664M110	HFHYX	Daily	Daily	7/1/2015	0.042508044	321,245.315	13,655.51	3,100.70	0.009652128	0.032855916	0.042508044
Class Y	41664M110	HFHYX	Daily	Daily	8/3/2015	0.042223471	322,608.011	13,621.63	3,093.01	0.009587511	0.032635960	0.042223471
Class Y	41664M110	HFHYX	Daily	Daily	9/1/2015	0.042932980	356,151.844	15,290.66	3,471.99	0.009748616	0.033184364	0.042932980
Class Y	41664M110	HFHYX	Daily	Daily	10/1/2015	0.043050948	370,863.146	15,966.01	3,625.34	0.009775403	0.033275545	0.043050948
Class Y	41664M110	HFHYX	Daily	Daily	11/2/2015	0.043949733	387,951.162	17,050.35	3,871.55	0.009979486	0.033970247	0.043949733
								350,131.91	79,503.02	0.097276441	0.331129744	0.428406185
								Class Y ROC %		22.7066%		

2015 Daily Distributions - Class R5												
Class R5	41664M128	HFHTX	Daily	Daily	2/3/2015	0.044700000	248,365.101	11,101.92	2,520.87	0.010149846	0.034550154	0.044700000
Class R5	41664M128	HFHTX	Daily	Daily	3/2/2015	0.043133000	264,782.881	11,420.88	2,593.29	0.009794034	0.033338966	0.043133000
Class R5	41664M128	HFHTX	Daily	Daily	4/1/2015	0.044020036	292,287.585	12,866.51	2,921.55	0.009995450	0.034024586	0.044020036
Class R5	41664M128	HFHTX	Daily	Daily	5/1/2015	0.043091309	284,705.206	12,268.32	2,785.72	0.009784567	0.033306742	0.043091309
Class R5	41664M128	HFHTX	Daily	Daily	6/1/2015	0.040749308	286,202.652	11,662.56	2,648.17	0.009252779	0.031496529	0.040749308
Class R5	41664M128	HFHTX	Daily	Daily	7/1/2015	0.042500640	288,119.661	12,245.27	2,780.48	0.009650447	0.032850193	0.042500640
Class R5	41664M128	HFHTX	Daily	Daily	8/3/2015	0.042220472	289,606.663	12,227.33	2,776.41	0.009586830	0.032633642	0.042220472
Class R5	41664M128	HFHTX	Daily	Daily	9/1/2015	0.043015991	290,889.730	12,512.91	2,841.26	0.009767465	0.033248526	0.043015991
Class R5	41664M128	HFHTX	Daily	Daily	10/1/2015	0.041356364	292,190.339	12,083.93	2,743.85	0.009390620	0.031965744	0.041356364
Class R5	41664M128	HFHTX	Daily	Daily	11/2/2015	0.043792081	293,479.773	12,852.09	2,918.27	0.009943689	0.033848392	0.043792081
								121,241.72	27,529.86	0.097315727	0.331263474	0.428579201
								Class R5 ROC %		22.7066%		

2015 Daily Distributions - Class R4												
Class R4	41664M136	HFHSX	Daily	Daily	2/3/2015	0.042240000	275,461.648	11,635.50	2,642.03	0.009591264	0.032648736	0.042240000
Class R4	41664M136	HFHSX	Daily	Daily	3/2/2015	0.040789000	317,054.598	12,932.34	2,936.49	0.009261791	0.031527209	0.040789000
Class R4	41664M136	HFHSX	Daily	Daily	4/1/2015	0.041601970	305,412.220	12,705.75	2,885.04	0.009446389	0.032155581	0.041601970
Class R4	41664M136	HFHSX	Daily	Daily	5/1/2015	0.040601540	297,371.233	12,073.73	2,741.53	0.009219226	0.031382314	0.040601540
Class R4	41664M136	HFHSX	Daily	Daily	6/1/2015	0.038163692	299,005.138	11,411.14	2,591.08	0.008665673	0.029498019	0.038163692
Class R4	41664M136	HFHSX	Daily	Daily	7/1/2015	0.040016416	300,153.817	12,011.08	2,727.31	0.009086364	0.030930052	0.040016416
Class R4	41664M136	HFHSX	Daily	Daily	8/3/2015	0.039676155	301,372.953	11,957.32	2,715.10	0.009009102	0.030667053	0.039676155
Class R4	41664M136	HFHSX	Daily	Daily	9/1/2015	0.040509810	301,453.648	12,211.83	2,772.89	0.009198397	0.031311413	0.040509810
Class R4	41664M136	HFHSX	Daily	Daily	10/1/2015	0.038962673	299,084.203	11,653.12	2,646.03	0.008847095	0.030115578	0.038962673
Class R4	41664M136	HFHSX	Daily	Daily	11/2/2015	0.041355114	300,337.704	12,420.50	2,820.27	0.009390337	0.031964777	0.041355114
								121,012.31	27,477.77	0.091715638	0.312200732	0.403916370
								Class R4 ROC %		22.7066%		

2015 Daily Distributions - Class R3												
Class R3	41664M144	HFHRX	Daily	Daily	2/3/2015	0.039780000	268,231.021	10,670.23	2,422.85	0.009032682	0.030747318	0.039780000
Class R3	41664M144	HFHRX	Daily	Daily	3/2/2015	0.038418000	305,920.142	11,752.84	2,668.67	0.008723418	0.02964582	0.038418000
Class R3	41664M144	HFHRX	Daily	Daily	4/1/2015	0.039214951	297,846.094	11,680.02	2,652.13	0.008904379	0.030310572	0.039214951
Class R3	41664M144	HFHRX	Daily	Daily	5/1/2015	0.038102162	286,939.885	10,933.03	2,482.52	0.008651702	0.029450460	0.038102162
Class R3	41664M144	HFHRX	Daily	Daily	6/1/2015	0.035567860	288,393.510	10,257.54	2,329.14	0.008076249	0.027491611	0.035567860
Class R3	41664M144	HFHRX	Daily	Daily	7/1/2015	0.037516661	287,832.118	10,798.50	2,451.97	0.008518755	0.028997906	0.037516661
Class R3	41664M144	HFHRX	Daily	Daily	8/3/2015	0.037120920	289,198.382	10,735.31	2,437.62	0.008428896	0.028692024	0.037120920
Class R3	41664M144	HFHRX	Daily	Daily	9/1/2015	0.038001178	290,281.001	11,031.02	2,504.77	0.008628772	0.029372406	0.038001178
Class R3	41664M144	HFHRX	Daily	Daily	10/1/2015	0.035633525	288,152.239	10,267.88	2,331.49	0.008091159	0.027542366	0.035633525
Class R3	41664M144	HFHRX	Daily	Daily	11/2/2015	0.038938440	83,736.020	3,260.55	740.36	0.008841592	0.030096848	0.038938440
								101,386.92	23,021.51	0.085897604	0.292396093	0.378293697
								Class R3 ROC %		22.7066%		

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FISCAL YEAR ENDED: October 31, 2015
EIN : 45-2831094

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2015 Daily Distributions - Class I												
Class I	41664M151	HFHIX	Daily	Daily	2/3/2015	0.044280000	16,277,997.064	720,789.71	163,666.77	0.010054479	0.034225521	0.044280000
Class I	41664M151	HFHIX	Daily	Daily	3/2/2015	0.042741000	19,601,350.694	837,781.33	190,231.58	0.009705024	0.033035976	0.042741000
Class I	41664M151	HFHIX	Daily	Daily	4/1/2015	0.043617000	18,369,996.790	801,244.15	181,935.23	0.009903934	0.033713066	0.043617000
Class I	41664M151	HFHIX	Daily	Daily	5/1/2015	0.042808423	17,317,427.928	741,331.78	168,331.18	0.009720334	0.033088089	0.042808423
Class I	41664M151	HFHIX	Daily	Daily	6/1/2015	0.040446146	17,798,311.612	719,873.11	163,458.64	0.009183941	0.031262205	0.040446146
Class I	41664M151	HFHIX	Daily	Daily	7/1/2015	0.042230476	18,464,863.858	779,779.99	177,061.45	0.009589102	0.032641374	0.042230476
Class I	41664M151	HFHIX	Daily	Daily	8/3/2015	0.041915537	19,410,979.513	813,621.63	184,745.74	0.009517590	0.032397947	0.041915537
Class I	41664M151	HFHIX	Daily	Daily	9/1/2015	0.042724869	18,636,277.387	796,232.51	180,797.26	0.009701361	0.033023508	0.042724869
Class I	41664M151	HFHIX	Daily	Daily	10/1/2015	0.041080002	16,490,542.771	677,431.53	153,821.61	0.009327868	0.031752134	0.041080002
Class I	41664M151	HFHIX	Daily	Daily	11/2/2015	0.043512857	15,963,107.640	694,600.42	157,720.08	0.009880287	0.033632570	0.043512857
								7,582,686.16	1,721,769.54	0.096583920	0.328772390	0.425356310
								Class I ROC %	22.7066%			

2015 Daily Distributions - Class C												
Class C	41664M169	HFHCX	Daily	Daily	2/3/2015	0.036030000	10,172,046.905	366,498.85	83,219.40	0.008181185	0.027848815	0.036030000
Class C	41664M169	HFHCX	Daily	Daily	3/2/2015	0.034850000	11,930,740.029	415,786.29	94,410.89	0.007913247	0.026936753	0.034850000
Class C	41664M169	HFHCX	Daily	Daily	4/1/2015	0.035588000	11,106,596.044	395,261.54	89,750.42	0.008080822	0.027507178	0.035588000
Class C	41664M169	HFHCX	Daily	Daily	5/1/2015	0.034432087	10,644,972.522	366,528.62	83,226.15	0.007818353	0.026613734	0.034432087
Class C	41664M169	HFHCX	Daily	Daily	6/1/2015	0.031742908	10,680,718.666	339,037.07	76,983.76	0.007207732	0.024535176	0.031742908
Class C	41664M169	HFHCX	Daily	Daily	7/1/2015	0.033860691	10,807,785.346	365,959.08	83,096.83	0.007688609	0.026172082	0.033860691
Class C	41664M169	HFHCX	Daily	Daily	8/3/2015	0.033364139	10,846,464.823	361,882.96	82,171.28	0.007575859	0.025788280	0.033364139
Class C	41664M169	HFHCX	Daily	Daily	9/1/2015	0.034304996	10,824,709.614	371,341.62	84,319.02	0.007789495	0.026515501	0.034304996
Class C	41664M169	HFHCX	Daily	Daily	10/1/2015	0.033031906	10,614,581.550	350,619.86	79,613.82	0.007500420	0.025531486	0.033031906
Class C	41664M169	HFHCX	Daily	Daily	11/2/2015	0.035329641	10,470,441.803	369,916.95	83,995.53	0.008022157	0.027307484	0.035329641
								3,702,832.84	840,787.11	0.077777879	0.264756489	0.342534368
								Class C ROC %	22.7066%			

2015 Daily Distributions - Class A												
Class A	41664M177	HFHAX	Daily	Daily	2/3/2015	0.042240000	15,848,825.047	669,454.37	152,010.27	0.009591264	0.032648736	0.042240000
Class A	41664M177	HFHAX	Daily	Daily	3/2/2015	0.040761000	18,425,059.984	751,023.87	170,531.92	0.009255434	0.031505566	0.040761000
Class A	41664M177	HFHAX	Daily	Daily	4/1/2015	0.041602000	16,915,304.793	703,710.51	159,788.67	0.009446396	0.032155604	0.041602000
Class A	41664M177	HFHAX	Daily	Daily	5/1/2015	0.040680800	15,619,056.164	635,395.70	144,276.70	0.009237223	0.031443577	0.040680800
Class A	41664M177	HFHAX	Daily	Daily	6/1/2015	0.038247365	16,098,684.445	615,732.26	139,811.81	0.008684673	0.029562692	0.038247365
Class A	41664M177	HFHAX	Daily	Daily	7/1/2015	0.040080870	16,214,203.933	649,670.40	147,565.46	0.009100899	0.030979871	0.040080870
Class A	41664M177	HFHAX	Daily	Daily	8/3/2015	0.039752887	16,046,928.868	637,911.75	144,848.01	0.009026526	0.030726361	0.039752887
Class A	41664M177	HFHAX	Daily	Daily	9/1/2015	0.040591355	16,001,465.829	649,521.18	147,484.12	0.009216913	0.031374442	0.040591355
Class A	41664M177	HFHAX	Daily	Daily	10/1/2015	0.039034622	15,800,376.650	616,761.73	140,045.56	0.008863432	0.030171190	0.039034622
Class A	41664M177	HFHAX	Daily	Daily	11/2/2015	0.041559761	15,411,413.940	640,494.68	145,434.51	0.009436805	0.032122956	0.041559761
								6,569,885.45	1,491,797.02	0.091859665	0.312690995	0.404550660
								Class A ROC %	22.7066%			

ROC per 10-31-15 PROV		
Total 2015 Distributions A		18,549,178
ROC per 10.31.15 Provision B		\$4,211,886
B/A=C		22.71%

Total Distributions **18,549,177.31** **4,211,885.84**