

Report of Organizational Actions Affecting Basis of Securities

▶ See separate instructions.

Part I Reporting Issuer

1 Issuer's name		2 Issuer's employer identification number (EIN)	
THE HARTFORD FLOATING RATE HIGH INCOME FUND		45-2831094	
3 Name of contact for additional information	4 Telephone No. of contact	5 Email address of contact	
DENISE D. LAUBER	610-386-6740	DENISE.LAUBER@HARTFORDFUNDS.COM	
6 Number and street (or P.O. box if mail is not delivered to street address) of contact		7 City, town, or post office, state, and ZIP code of contact	
690 LEE ROAD		WAYNE, PA 19087	
8 Date of action	9 Classification and description		
10/31/19	NON-DIVIDEND DISTRIBUTION PAID IN 2019		
10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
SEE ATTACHMENT	N/A	SEE ATTACHMENT	N/A

Part II Organizational Action Attach additional statements if needed. See back of form for additional questions.

14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ▶ THE HARTFORD FLOATING RATE HIGH INCOME FUND PAID NON-TAXABLE RETURN OF CAPITAL DISTRIBUTIONS TO ITS SHAREHOLDERS PER THE ATTACHED SCHEDULE.

15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ▶ THE AMOUNT OF NON-TAXABLE RETURN OF CAPITAL, ON THE ATTACHED SCHEDULE, REPRESENTS AN ADJUSTMENT IN THE SHAREHOLDERS' TAX BASIS IN THEIR SHARES HELD.

16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ▶ THE TAX BASIS OF THE SHAREHOLDERS' SHARES IS DECREASED BY THE PER SHARE AMOUNT OF NON-TAXABLE TAXABLE RETURN OF CAPITAL, ON THE ATTACHED SCHEDULE, MULTIPLIED BY THE NUMBER OF SHARES THE SHAREHOLDER OWNS.

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ► PURSUANT TO IRC SEC. 301(C)(1), THE PORTION OF A DISTRIBUTION, WHICH IS A DIVIDEND (AS DEFINED IN IRC SEC. 316), IS INCLUDIBLE IN GROSS INCOME. PURSUANT TO IRC SEC. 301(C)(2), THE PORTION OF A DISTRIBUTION, WHICH IS NOT A DIVIDEND, SHALL BE APPLIED AGAINST AND REDUCE THE ADJUSTED TAX BASIS OF THE STOCK.

18 Can any resulting loss be recognized? ► NO LOSS WILL BE RECOGNIZED AS A RESULT OF ANY NON-TAXABLE RETURN OF CAPITAL. A LOSS MAY BE REALIZED UPON THE DISPOSITION OF SHARES.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ► PURSUANT TO IRC SEC. 6045, BROKERS ARE REQUIRED TO REFLECT THESE ADJUSTMENTS IN COST BASIS REPORTING FOR COVERED SECURITIES. IF A BROKER IS NOT REQUIRED TO PROVIDE COST BASIS TO A PARTICULAR SHAREHOLDER, THE COST BASIS OF THE SHAREHOLDER'S SHARES SHOULD BE ADJUSTED AS OF THE DISTRIBUTION DATE TO REFLECT THE RETURN OF CAPITAL DESCRIBED ABOVE. THE FINAL AMOUNT OF TAXABLE DIVIDENDS AND NON-TAXABLE RETURN OF CAPITAL (I.E. NON-DIVIDEND DISTRIBUTIONS) WILL BE REPORTED TO SHAREHOLDERS ON THEIR 2019 FORM 1099-DIV.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here Signature ► Denise Lauber Date ► 11/15/2020

Print your name ► DENISE D. LAUBER Title ► ASSISTANT TREASURER

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ►				Firm's EIN ►
	Firm's address ►				Phone no.

Share Class	Box 10 CUSIP Number	Box 12 Ticker Symbol	Record Date	Ex Date	Payable Date	Per Share Distribution	Record Date Shares	Total Distribution	Return of Capital Per Class	Box 14 per share Return of Capital	Per share Taxable Dividend	Total Per Share
2019 Quarterly Distributions - Class F												
F	41664T594	HFHF	Daily	Daily	2/1/2019	0.041851440	3,105,143,915	130,122.15	8,945.75	0.002780749	0.036070691	0.041851440
F	41664T594	HFHF	Daily	Daily	3/1/2019	0.03743070	2,985,875,288	112,696.10	7,487.90	0.002507776	0.03235294	0.03743070
F	41664T594	HFHF	Daily	Daily	4/1/2019	0.042014464	3,214,358,036	135,046.53	8,973.14	0.002791661	0.039222883	0.042014464
F	41664T594	HFHF	Daily	Daily	5/1/2019	0.041254122	3,621,608,284	149,406.27	9,927.05	0.002741092	0.038513060	0.041254122
F	41664T594	HFHF	Daily	Daily	6/3/2019	0.041941877	3,599,465,041	150,968.32	10,030.84	0.002786756	0.039155119	0.041941877
F	41664T594	HFHF	Daily	Daily	7/1/2019	0.040203891	3,072,287,971	123,517.90	8,206.94	0.002671260	0.037532601	0.040203891
F	41664T594	HFHF	Daily	Daily	8/1/2019	0.040448128	2,831,074,628	114,514.50	7,808.73	0.002687525	0.03761553	0.040448128
F	41664T594	HFHF	Daily	Daily	9/2/2019	0.03839916	2,685,375,129	103,514.95	6,877.88	0.002590464	0.036256462	0.03839916
F	41664T594	HFHF	Daily	Daily	10/1/2019	0.036607959	2,512,491,465	91,976.27	6,111.21	0.002423331	0.034752684	0.036607959
F	41664T594	HFHF	Daily	Daily	11/1/2019	0.037333278	2,348,636,346	87,447.43	5,810.30	0.002473903	0.034759375	0.037333278
									79,679.74			
									1,199,213.42			
									Class F ROC %			
									6.6443%			
2019 Daily Distributions - Class Y												
Y	41664M110	HFHY	Daily	Daily	2/1/2019	0.041800155	1,599,104,357	66,842.81	4,441.26	0.002777542	0.039022813	0.041800155
Y	41664M110	HFHY	Daily	Daily	3/1/2019	0.037654330	1,716,203,422	64,622.49	4,293.73	0.002501979	0.035152451	0.037654330
Y	41664M110	HFHY	Daily	Daily	4/1/2019	0.041666707	1,517,507,971	63,228.56	4,201.18	0.002768475	0.03888232	0.041666707
Y	41664M110	HFHY	Daily	Daily	5/1/2019	0.040904336	1,157,231,351	47,335.78	3,145.15	0.002717821	0.038186515	0.040904336
Y	41664M110	HFHY	Daily	Daily	6/3/2019	0.041584866	1,322,659,787	55,002.63	3,654.56	0.002763037	0.038821829	0.041584866
Y	41664M110	HFHY	Daily	Daily	7/1/2019	0.039876590	1,435,540,139	57,243.01	3,803.42	0.002649467	0.037226123	0.039876590
Y	41664M110	HFHY	Daily	Daily	8/1/2019	0.040083176	1,444,221,242	57,887.53	3,846.24	0.002663194	0.037418682	0.040083176
Y	41664M110	HFHY	Daily	Daily	9/2/2019	0.038475522	1,465,907,337	56,401.55	3,147.51	0.002556642	0.035919060	0.038475522
Y	41664M110	HFHY	Daily	Daily	10/1/2019	0.036261612	1,472,544,850	53,396.85	3,547.86	0.002409343	0.036852289	0.036261612
Y	41664M110	HFHY	Daily	Daily	11/1/2019	0.036698919	1,515,473,944	55,875.25	3,712.54	0.002449754	0.034420065	0.036698919
									38,393.45			
									577,837.46			
									Class Y ROC %			
									6.6443%			
2019 Daily Distributions - Class R3												
R3	41664M144	HFHR	Daily	Daily	2/1/2019	0.037133452	28,234,111	1,048.43	69.66	0.002467270	0.034666182	0.037133452
R3	41664M144	HFHR	Daily	Daily	3/1/2019	0.033456720	27,824,346	924.22	61.41	0.002222976	0.031233744	0.033456720
R3	41664M144	HFHR	Daily	Daily	4/1/2019	0.037237655	27,130,595	1,010.28	67.13	0.002474195	0.034763470	0.037237655
R3	41664M144	HFHR	Daily	Daily	5/1/2019	0.036605705	27,274,710	998.41	66.34	0.002432205	0.034173500	0.036605705
R3	41664M144	HFHR	Daily	Daily	6/3/2019	0.037129971	27,531,128	1,022.23	67.92	0.002467039	0.034662932	0.037129971
R3	41664M144	HFHR	Daily	Daily	7/1/2019	0.035571968	27,773,064	988.11	66.65	0.002363921	0.033214077	0.035571968
R3	41664M144	HFHR	Daily	Daily	8/1/2019	0.035638040	27,147,956	967.50	64.28	0.002367910	0.032770130	0.035638040
R3	41664M144	HFHR	Daily	Daily	9/2/2019	0.034039483	27,071,210	921.49	61.23	0.002261697	0.031777786	0.034039483
R3	41664M144	HFHR	Daily	Daily	10/1/2019	0.031953383	27,298,087	872.34	57.96	0.002123222	0.029832161	0.031953383
R3	41664M144	HFHR	Daily	Daily	11/1/2019	0.032721386	23,424,130	766.47	50.93	0.002174118	0.030547288	0.032721386
									632.51			
									9,519.48			
									Class R3 ROC %			
									6.6443%			

Share Class	Box 10 CUSIP Number	Box 12 Ticker Symbol	Record Date	Ex Date	Payable Date	Per Share Distribution	Record Date Shares	Total Distribution	Return of Capital Per Class	Box 14 per share Return of Capital	Per share Taxable Dividend	Total Per Share
2019 Daily Distributions - Class R4												
R4	41664M136	HFHSX	Daily	Daily	2/1/2019	0.039408660	92,891,425	3,266.64	217.05	0.002618443	0.03979217	0.039408660
R4	41664M136	HFHSX	Daily	Daily	3/1/2019	0.03552782	83,254,584	2,957.85	196.53	0.002390584	0.033167198	0.03527762
R4	41664M136	HFHSX	Daily	Daily	4/1/2019	0.039547489	83,649,306	3,308.12	219.80	0.002627667	0.039919822	0.039547489
R4	41664M136	HFHSX	Daily	Daily	5/1/2019	0.039853828	36,417,519	1,414.96	84.01	0.002581578	0.036272250	0.03853828
R4	41664M136	HFHSX	Daily	Daily	6/3/2019	0.039455743	35,019,744	1,381.73	91.81	0.002621571	0.036834172	0.039455743
R4	41664M136	HFHSX	Daily	Daily	7/1/2019	0.037659494	35,182,985	1,332.01	88.50	0.002515511	0.035343983	0.037659494
R4	41664M136	HFHSX	Daily	Daily	8/1/2019	0.037963300	35,306,315	1,340.31	89.05	0.002522344	0.035439986	0.037963300
R4	41664M136	HFHSX	Daily	Daily	9/3/2019	0.036556615	35,468,822	1,285.56	85.68	0.002415554	0.033943751	0.036556615
R4	41664M136	HFHSX	Daily	Daily	10/1/2019	0.034205339	35,659,638	1,219.75	81.04	0.002272717	0.031932622	0.034205339
R4	41664M136	HFHSX	Daily	Daily	11/1/2019	0.034764249	35,806,613	1,244.79	82.71	0.002309653	0.032454396	0.034764249
								16,755.72	1,248.19			
								Class R4 ROC %	6.6443%			
2019 Daily Distributions - Class R5												
R5	41664M128	HFHTX	Daily	Daily	2/1/2019	0.041712501	1,170,594,878	48,828.44	3,244.32	0.002771518	0.038940983	0.041712501
R5	41664M128	HFHTX	Daily	Daily	3/1/2019	0.037626602	1,155,197,729	43,465.01	2,887.96	0.002499971	0.035125631	0.037626602
R5	41664M128	HFHTX	Daily	Daily	4/1/2019	0.041882226	1,153,051,177	48,292.35	3,208.70	0.002782785	0.039099431	0.041882226
R5	41664M128	HFHTX	Daily	Daily	5/1/2019	0.041124768	1,216,802,001	50,040.70	3,324.87	0.002732467	0.038939201	0.041124768
R5	41664M128	HFHTX	Daily	Daily	6/3/2019	0.041809178	1,236,242,435	51,686.28	3,434.21	0.002777941	0.039031237	0.041809178
R5	41664M128	HFHTX	Daily	Daily	7/1/2019	0.040083473	1,128,757,980	45,244.54	3,006.20	0.002663280	0.037420193	0.040083473
R5	41664M128	HFHTX	Daily	Daily	8/1/2019	0.040305362	1,172,306,332	47,254.88	3,139.77	0.002678289	0.037631073	0.040305362
R5	41664M128	HFHTX	Daily	Daily	9/3/2019	0.038707641	1,172,179,237	45,365.26	3,074.22	0.002574666	0.036130175	0.038707641
R5	41664M128	HFHTX	Daily	Daily	10/1/2019	0.038590384	1,169,590,384	42,666.98	2,834.94	0.002423871	0.034659405	0.038590384
R5	41664M128	HFHTX	Daily	Daily	11/1/2019	0.037104200	1,151,908,471	42,737.44	2,539.62	0.002465142	0.034636278	0.037104200
								485,851.88	30,834.81			
								Class R5 ROC %	6.6443%			

The Hartford Floating Rate High Income Fund
 Form 8937 Appendix A
 FISCAL YEAR ENDED: October 31, 2019
 EIN: 45-2831084

Share Class	Box 10 CUSIP Number	Box 12 Ticker Symbol	Record Date	Ex Date	Payable Date	Per Share Distribution	Record Date Shares	Total Distribution	Return of Capital Per Class	Box 14 per share Return of Capital	Per share Taxable Dividend	Total Per Share
2019 Daily Distributions - Class I												
I	41664M151	HFHX	Daily	Daily	2/1/2019	0.041461550	26,703,421.363	1,107,165.24	73,563.75	0.002754844	0.038706706	0.041461550
I	41664M151	HFHX	Daily	Daily	3/1/2019	0.037382222	26,485,001.733	989,320.57	65,733.76	0.002483800	0.034898422	0.037382222
I	41664M151	HFHX	Daily	Daily	4/1/2019	0.041631712	26,186,220.919	1,089,796.46	72,409.71	0.002765194	0.038851988	0.041631712
I	41664M151	HFHX	Daily	Daily	5/1/2019	0.040689993	25,623,864.597	1,055,389.75	70,123.62	0.002715479	0.038153814	0.040689993
I	41664M151	HFHX	Daily	Daily	6/3/2019	0.041541253	25,633,772.289	1,064,859.02	70,752.79	0.002760139	0.038781114	0.041541253
I	41664M151	HFHX	Daily	Daily	7/1/2019	0.039822745	24,809,406.283	997,978.66	65,644.60	0.002645956	0.037767899	0.039822745
I	41664M151	HFHX	Daily	Daily	8/1/2019	0.040386854	24,464,458.021	979,523.97	65,062.84	0.002660302	0.037278352	0.040386854
I	41664M151	HFHX	Daily	Daily	9/3/2019	0.038427850	23,760,532.845	913,061.44	60,966.85	0.002553261	0.035874389	0.038427850
I	41664M151	HFHX	Daily	Daily	10/1/2019	0.036210965	23,612,519.578	855,032.12	56,811.19	0.002405977	0.033804988	0.036210965
I	41664M151	HFHX	Daily	Daily	11/1/2019	0.036815657	23,147,790.355	852,201.11	56,623.09	0.002446155	0.034369502	0.036815657
									657,412.19	0.026191097	0.367959584	0.394166951
									Class I ROC %			
									6.6443%			
2019 Daily Distributions - Class C												
C	41664M169	HFHCX	Daily	Daily	2/1/2019	0.033689462	7,512,880.484	253,077.95	16,815.34	0.002238440	0.031451022	0.033689462
C	41664M169	HFHCX	Daily	Daily	3/1/2019	0.030262410	7,421,133.923	225,056.35	14,953.49	0.002014988	0.028311422	0.030262410
C	41664M169	HFHCX	Daily	Daily	4/1/2019	0.033150921	7,254,165.597	244,834.77	16,267.64	0.002242524	0.031508397	0.033150921
C	41664M169	HFHCX	Daily	Daily	5/1/2019	0.033209838	7,073,115.804	234,897.03	15,907.34	0.002206572	0.031003266	0.033209838
C	41664M169	HFHCX	Daily	Daily	6/3/2019	0.033621075	6,964,654.759	234,159.18	15,568.32	0.002233996	0.031387179	0.033621075
C	41664M169	HFHCX	Daily	Daily	7/1/2019	0.03207934	6,809,252.031	219,311.94	14,571.82	0.002140003	0.030067931	0.03207934
C	41664M169	HFHCX	Daily	Daily	8/1/2019	0.032144937	6,603,006.877	212,533.24	14,102.81	0.002138817	0.030009120	0.032144937
C	41664M169	HFHCX	Daily	Daily	9/3/2019	0.030544709	6,409,165.005	195,166.08	13,007.35	0.002028492	0.028515217	0.030544709
C	41664M169	HFHCX	Daily	Daily	10/1/2019	0.028556167	6,255,152.521	178,623.18	11,868.32	0.001897367	0.026658800	0.028556167
C	41664M169	HFHCX	Daily	Daily	11/1/2019	0.028679470	6,008,898.636	174,134.35	11,570.07	0.001925493	0.027053977	0.028679470
									144,322.51	0.021064592	0.295966331	0.317030923
									Class C ROC %			
									6.6443%			
2019 Daily Distributions - Class A												
A	41664M177	HFHAX	Daily	Daily	2/1/2019	0.039524056	9,731,033.012	384,628.47	25,555.00	0.002626110	0.036897946	0.039524056
A	41664M177	HFHAX	Daily	Daily	3/1/2019	0.035631443	9,716,832.568	346,238.33	23,004.57	0.002367472	0.033263971	0.035631443
A	41664M177	HFHAX	Daily	Daily	4/1/2019	0.039663369	9,641,707.168	362,122.59	25,409.43	0.002635557	0.037028002	0.039663369
A	41664M177	HFHAX	Daily	Daily	5/1/2019	0.039078420	9,539,909.495	372,804.59	24,770.38	0.002596501	0.036481919	0.039078420
A	41664M177	HFHAX	Daily	Daily	6/3/2019	0.039567743	9,699,555.267	383,789.51	25,500.26	0.002629073	0.036993870	0.039567743
A	41664M177	HFHAX	Daily	Daily	7/1/2019	0.037626889	9,726,415.087	368,885.08	24,509.86	0.002519989	0.035408900	0.037626889
A	41664M177	HFHAX	Daily	Daily	8/1/2019	0.038074460	9,496,066.917	361,557.62	24,023.09	0.002528794	0.035544666	0.038074460
A	41664M177	HFHAX	Daily	Daily	9/3/2019	0.036466360	9,289,772.547	338,764.19	22,508.62	0.002422347	0.034043413	0.036466360
A	41664M177	HFHAX	Daily	Daily	10/1/2019	0.034306585	9,102,455.403	312,274.16	20,748.54	0.002278444	0.032027141	0.034306585
A	41664M177	HFHAX	Daily	Daily	11/1/2019	0.034684946	9,055,995.014	316,984.52	21,001.71	0.002316537	0.032548309	0.034684946
									237,032.96	0.024923174	0.350180997	0.375104171
									Class A ROC %			
									6.6443%			
Total Distributions												
									17,904,786.00			
									1,189,654.00			
ROC per 10-31-19 PROVISION												
									17,904,790			
									\$1,189,654			
									6.6443%			
Total Fiscal Distributions Ordinary												
									29,765,695			
									11,864,905			
									17,904,790			