

# Hartford Schrodgers Private Opportunities Fund



A: XHFAX I: XHFIX SDR: XHFSX

At Hartford Funds, we believe that delivering consistent, long-term value to investors requires continual assessment of opportunities, risks, and market dynamics.

## Industry Sectors of Focus

Healthcare

Technology

Consumer

Business Services

Industrials

## Targeted Allocations<sup>1</sup>

Type	Co-Investment <sup>2</sup> 30-50%	Secondaries <sup>3</sup> 30-50%	Primary <sup>4</sup> 0-20%
Region	Europe 30-50%	US 30-50%	Asia 10-30%
Strategy	Small-Mid buyout <sup>5</sup> 60-70%		Large buyout <sup>5</sup> 10-20%
			Venture <sup>6</sup> / Growth <sup>7</sup> 10-20%

## Summary Terms & Fees

Inception Date	July 31, 2023			
Investor Eligibility <sup>8</sup>	Accredited Investors only			
Minimum Investment <sup>9</sup>	Classes A & I: \$25,000	Class SDR: \$5,000,000		
Subscriptions	Monthly			
Net Asset Value (NAV) <sup>10</sup>	Monthly			
Liquidity <sup>11</sup>	Targeted quarterly for up to 5% of Fund net assets subject to the approval of the Fund's Board of Trustees			
Capital Calls	None			
Tax Reporting	Form 1099			
Distributions <sup>12</sup>	Annually			
Management Fee (%) <sup>13</sup>	1.50; Effective Rate Year 2: 0.25 <sup>14</sup>			
Incentive Fee	None			
Total Fund Expenses (%) <sup>13</sup>		Class A	Class I	Class SDR
	Gross <sup>15</sup>	7.87	7.27	7.02
	Net <sup>16</sup>	2.52	1.92	1.67

*(Net reflects impact of second year advisory fee waiver of 1.25)*

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- <sup>1</sup> The target allocation above excludes the cash allocation of the strategy, which will normally range between 10-20%, but will exceed this range during the initial investment ramp-up period (expected to be approximately 12 months). Please note: The Fund, Hartford Funds, and Schroders have obtained exemptive relief from the SEC to permit the Fund to engage in joint transactions alongside private funds advised by Schroders Capital.
- <sup>2</sup> An equity co-investment is a minority investment in a company made by a limited partner (LP) alongside a lead sponsor who sources, structures, and executes the transaction.
- <sup>3</sup> Refers to investments in which one investor acquires another investor's existing interest in a private equity investment through a negotiated transaction in which the private equity manager managing the investment remains the same.
- <sup>4</sup> Refers to interests in newly established Investment Funds.
- <sup>5</sup> A buyout investment is an equity investment in a company that facilitates a change in control of the company's ownership.
- <sup>6</sup> A venture capital investment is an equity investment in a company seeking to raise capital primarily to fund the company's research and development, market development and revenue growth initiatives.
- <sup>7</sup> A growth capital investment is an equity investment in a company seeking to raise capital primarily to fund the company's growth initiatives.
- <sup>8</sup> An "accredited investor" as defined in Rule 501(a) of Regulation D promulgated under the 1933 Act.
- <sup>9</sup> HFMC may in its sole discretion waive these minimums for accounts in certain circumstances. The Fund offers three classes of Shares: Class A, Class I and Class SDR. Each class of Shares is designed for specific types of investors and has its own fee structure, allowing you to choose the class that best meets your situation. The class that may be best for you depends on a number of factors, including the amount and the length of time that you expect to invest. Not all financial intermediaries make all classes of Shares available to their clients. Third parties making Fund Shares available to their clients determine which Share class(es) to make available. Please see the prospectus for more information.
- <sup>10</sup> Net Asset Value (NAV) published on/around 20th calendar day after month-end.
- <sup>11</sup> No shareholder will have the right to require the Fund to repurchase its shares. A 2% early repurchase fee will be imposed on shares held less than one year.
- <sup>12</sup> The Fund has adopted an opt-out dividend reinvestment plan by which investors will have 100% of their distributions reinvested in additional shares of the Fund unless an investor elects to receive distributions in cash.
- <sup>13</sup> Current as of the Fund's prospectus dated July 26, 2024.
- <sup>14</sup> HFMC has contractually agreed to waive 1.25% of its management fee that is set to expire on July 31, 2025, providing an effective management fee of 0.25% in Year 2.
- <sup>15</sup> Gross Expenses as shown in the Fund's most recent prospectus, including acquired fund fees and expenses. Gross expenses do not reflect contractual expense reimbursement.
- <sup>16</sup> Net Expenses as shown in the Fund's most recent prospectus, including acquired fund fees and expenses. HFMC has contractually agreed to limit operating expenses (excluding management fee, Rule 12b-1 fee, sub-transfer agency fees, acquired fund fees and expenses, interest expense, and certain extraordinary expenses) to not exceed 0.75%. This contractual expense reimbursement will remain in effect at least until July 31, 2025, unless the Board of Trustees approves its earlier termination.

**An investment in the Fund involves substantial investment risk with the potential for attractive returns.** An investment in the Fund may be appropriate for investors with a long-term investment horizon who have the risk tolerance commensurate with an investment in the Fund and sufficiently understand the Fund's strategy, characteristics, and risks, and have sufficient liquid assets to absorb potential losses and accept the lack of liquidity.

**Alternative investments are complex, speculative investments and are not appropriate for all investors. All investors in the Fund must be "Accredited Investors," as defined in Regulation D under the Securities Act of 1933.** Please note tax-exempt investors (such as retirement accounts) may be subject to unrelated business taxable income if invested in the Fund. Tax-exempt investors are urged to consult with their own tax professional prior to making an investment in the Fund.

**An investment in the Fund is considered illiquid.**

**Unlisted Closed-End Fund Risks.** The Fund is an unlisted closed-end fund. There is not expected to be any secondary trading market in the Fund Shares. The Fund differs from a mutual fund that offers daily redemption of fund shares at net asset value. Also, unlike many closed-end funds, the Shares are not listed on any securities exchange. To provide shareholders with limited liquidity, the Fund may repurchase Shares quarterly. If and when the Fund did repurchase shares, the Fund would repurchase Shares from shareholders quarterly in an amount up to 5% of the Fund's net asset value as of the prior calendar quarter end. The Fund does not anticipate conducting a tender offer for at least the first 12 months of operation. The Fund's Board of Trustees has complete discretion to determine whether the Fund will engage in any share repurchase. The Fund's distributions may be funded from unlimited amounts of offering proceeds or borrowings, which may constitute a return of capital and reduce the amount of capital available to the Fund for investment. A return of capital to shareholders is a return of a portion of their original investment in the Fund, thereby reducing the tax basis of their investment. As a result of such reduction in tax basis, shareholders may be subject to tax in connection with the sale of Fund Shares, even if such Shares are sold at a loss relative to the shareholder's original investment.

**Investment Strategy Risks:** The Fund is a newly organized, non-diversified, closed-end management investment company with limited operating history that may be subject to additional risks. Security prices fluctuate in value depending on general market and economic conditions and the prospect of individual companies. • Private equity investments involve a high degree of business and financial risk that can result in substantial losses. The valuation of private equity investments is complex and is typically based on fair value as determined in good faith by the Fund according to the Fund's valuation procedures. The Fund's net asset value could be adversely affected if the Fund's determination regarding the fair value of the Fund's investments were materially higher than the values that the Fund ultimately realizes upon disposal of such investment. • Illiquid and restricted securities may be difficult to dispose of at a fair price at the times when the Fund believes it is desirable to do so. A particular investment may become illiquid, making it difficult for the Fund to sell that investment at an advantageous time or price. • Foreign investments may be more volatile and less liquid than U.S. investments and are subject to the risk of currency fluctuations and adverse political, economic, and regulatory developments. • Small-cap and Mid-cap securities can have greater risks and volatility than large-cap companies. • Leverage can increase market exposure, magnify investment risks, and cause losses to be realized more quickly. • Obligations of U.S. Government agencies are supported by varying degrees of credit but are generally not backed by the full faith and credit of the U.S. Government. • Because the Fund is non-diversified, it may invest in a smaller number of issuers, and may be more exposed to risks and volatility than a more broadly diversified fund. • To the extent the Fund focuses on one or more sectors, the Fund may be subject to increased volatility and risk of loss if adverse developments occur. • Financially material environmental, social and/or governance (ESG) characteristics are one of several factors that may be considered and as a result, the investment process may not work as intended.

**Investors should carefully consider a fund's investment objectives, risks, charges, and expenses. This and other important information is contained in the fund's prospectus, which can be obtained by visiting [hartfordfunds.com](http://hartfordfunds.com). Please read it carefully before investing.**

The Hartford Schrodgers Private Opportunities Fund is distributed by Hartford Funds Distributors, LLC (HFD), Member FINRA. Advisory services are provided by Hartford Funds Management Company, LLC (HFMC). Schroder Investment Management North America Inc. (SIMNA) serves as the Fund's sub-adviser and Schroders Capital Management (US) Inc. (Schroders Capital) serves as the Fund's sub-sub-adviser. HFMC, SIMNA, and Schroders Capital are all SEC registered investment advisers. Hartford Funds refers to HFD and HFMC, which are not affiliated with any sub-adviser. The Fund is only offered and sold to persons in the United States and its territories.